



NOTICE OF MEETING

A meeting of the **AUDIT AND SCRUTINY COMMITTEE** will be held **BY SKYPE** on **TUESDAY, 15 JUNE 2021** at **10:00 AM**, which you are requested to attend.

Douglas Hendry
Executive Director

BUSINESS

1. **APOLOGIES**
2. **DECLARATIONS OF INTEREST**
3. **MINUTE OF PREVIOUS MEETING HELD ON 16 MARCH 2021** (Pages 3 - 10)
4. **COUNCIL 6-MONTH PERFORMANCE REPORT - OCTOBER TO MARCH 2021**
(Pages 11 - 76)
Report by Executive Director with responsibility for Customer Support Services
- AUDIT ITEMS**
5. **INTERNAL AUDIT AND COUNTER FRAUD SUMMARY OF ACTIVITIES** (Pages 77 - 84)
Report by Chief Internal Auditor
6. **INTERNAL AND EXTERNAL AUDIT REPORT FOLLOW UP 2020-21** (Pages 85 - 106)
Report by Chief Internal Auditor
7. **INTERNAL AUDIT REPORTS TO AUDIT AND SCRUTINY COMMITTEE 2020/21**
(Pages 107 - 212)
Report by Chief Internal Auditor
 - *Capital Monitoring*
 - *Intercompany Controls*
 - *Charging for Non-residential Care Services*
 - *Sickness Absence*

- *Workforce Planning*
- *Warden Services*
- *Disaster Recovery Planning (ICT)*

8. INTERNAL AUDIT - ANNUAL REPORT 2020/21 (Pages 213 - 224)

Report by Chief Internal Auditor

9. 2020/21 AUDIT PROGRESS REPORT (Pages 225 - 232)

Report by Audit Scotland

10. ANNUAL AUDIT PLAN 2020/21 (Pages 233 - 248)

Report by Audit Scotland

SCRUTINY ITEMS

11. SCRUTINY - DEVELOPMENT SESSION (Pages 249 - 252)

Report by Chief Internal Auditor

12. STRATEGIC HOUSING FUND SCRUTINY REPORT (Pages 253 - 272)

Report by Chair of the Scrutiny Panel

13. REVIEW OF CODE OF CORPORATE GOVERNANCE (Pages 273 - 320)

Report by Executive Director with responsibility for Governance, Risk and Safety

14. UNAUDITED FINANCIAL ACCOUNTS - TO FOLLOW

Report by Chief Financial Officer

15. WORKPLAN (Pages 321 - 326)

For noting and updating

Audit and Scrutiny Committee

Martin Caldwell (Chair)

Councillor George Freeman

Councillor Sir Jamie McGrigor

Councillor Richard Trail

Councillor Jim Findlay

Councillor Jim Lynch (Vice-Chair)

Councillor Alan Reid

Councillor Andrew Vennard

Shona Barton, Committee Manager

Contact: Lynsey Innis, Senior Committee Assistant; Tel: 01546 604338